Electronic Filing System (EFS) Data

Electronic Patent Application Submission

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EFS ID:	17157
Application ID:	10064783
Title of Invention:	System And Method For Managing Concentration Of Corporate Debt
First Named Inventor:	Lawrence Lederman
Domestic/Foreign Application:	Domestic Application
Filing Date:	null
Effective Receipt Date:	2002-08-16
Submission Type:	Utility Patent Filing
Filing Type:	new-utility
Confirmation Number:	0
Attorney Docket Number:	02012-40137
Digital Certificate Holder:	cn=Chris Lansing Holm, ou=Registered Attorneys, ou=Patent and Trademark Office, ou=Department of Commerce, o=U.S. Government, c=US
Certificate Message Digest:	vXH/QObCJFVCgnw8Q200mg==
Total Fees Authorized:	$1068.0
Payment Category:	DA - Deposit Account
Deposit Account Number:	133250
Deposit Account Name:	Chris L. Holm

10064783 .081602

JC474 U.S. PTO
10/064783
08/16/02

TRANSMITTAL FORM

Electronic Version 1.0.3

Stylesheet Version: 1.0

Submission Type: **Utility Patent Filing**

Attorney Docket Number: 02012-40137

System And Method For Managing Concentration Of Corporate Debt

First Named Inventor: **Mr. Lawrence Lederman**

SUBMITTED BY

Name:	Mr. Chris L. Holm
Registration Number:	39,227
Electronic Signature Mark: /chris l. holm/	Date Signed: 20020816

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I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

declaration	40137_decl.TIF
declaration	40137_poa.TIF
bibd-transmittal	40137apds.xml
patent-assignments	40137asgn.xml

specification	40137.xml
fee-transmittal	40137fee.xml

Attached Image File(s):

40137_decl.TIF

40137_poa.TIF

Comments:

PTO/SB/01A (10-(
Approved for use through 10/31/2002. OMB 0651-00
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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	System And Method For Managing Concentration Of Corporate Debt

As the below named inventor(s), I/we declare that:

This declaration is directed to:

☒ The attached application, or

☐ Application No. _____, filed on _____,

☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Lawrence Lederman

Signature: Lawrence Lederman Citizen of: United States

Inventor two: _____

Signature: _____ Citizen of: _____

Inventor three: _____

Signature: _____ Citizen of: _____

Inventor four: _____

Signature: _____ Citizen of: _____

☐ Additional inventors are being named on ____ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1 63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S C. 122 and 37 CFR 1 14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S Patent and Trademark Office,

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PTO/SB/81 (02-01)
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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	TBA
Filing Date	Herewith
First Named Inventor	Lederman
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	02012-40137

I hereby appoint:

☒ Practitioners at Customer Number 27171 → *Place Customer Number Bar Code Label here*

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

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☐ Firm *or* Individual Name					
Address					
Address					
City		State		ZIP	
Country					
Telephone		Fax			

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Lawrence Lederman
Signature	Lawrence Lederman
Date	Aug 15, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

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FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: $ 1068

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 13-3250

Deposit Account Name: **Milbank Tweed Hadley & McCloy LLP**

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

SUBMITTED BY

Authorized Name:	**Chris L. Holm**
Electronic Signature Mark:	**/chris l. holm/**
Date Signed:	**20020816**

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	$ 740

Subtotal For Basic Filing Fee: $ 740

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 22	103	$ 18	2	$ 36
Independent Claims: 6	102	$ 84	3	$ 252

Subtotal For Extra Claims Fees: $ 288

ADDITIONAL FEES

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Recording Each Patent Assignment Per Property Fee	00000000	1	581	$ 40	$ 40

Subtotal For Additional Fees: $ 40